ICOM Hierarchy w/Definitions **Patriarch** 2nd Gen 3rd Gen 4th Gen 5th Gen 6th Gen ACCOUNTING REQUIREMENT - This is a requirement by a business or accounting organization to modify the chart of accounts, accounting classification structure, cost accounting code structure, or the accounting classification itself. ACCOUNTING REQUIREMENT CHANGE PROPOSAL - This is a recommendation to change an existing accounting requirement to include changes impacting the accounting classification structure, cost accounting code structure, standard chart of accounts, or an accounting/cost accounting methodology that has been issued for review by impacted organizations. This recommendation is issued in response to a requested change from processes external to accounting. This proposal includes an assessment of the impact of the change. ACQUISITION MANAGER - This role is responsible for supervising or managing the process of the acquisition of internally/externally supplied goods/services to the Department of Defense. ACQUISITION REQUIREMENT - This is the information that defines the need for internal or external goods or services that have been identified to be fulfilled. BENEFIT REQUIREMENT This is a requirement that defines the need for a benefit program, goods, or services. It may be an unfunded or funded requirement. MATERIEL OR SERVICE REQUIREMENT This is a requirement for goods or services that exceeds the current plan or strategy. REAL PROPERTY DISPOSAL REQUIREMENT This is information regarding the method of disposal determined for a real property asset. This information is used to plan the execution real property disposal action.

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APPORTIONMENT - An apportionment is a distribution made by the Office of Management and Budget of amounts available for obligation in an appropriation. The apportioned amount limits the obligations that may be incurred. An apportionment may be further subdivided by an agency into allotments, sub allotments, and allocations. This document is one of the three main sources that must be approved prior to issuing the Budget Authority to the component.

statement, exemption, biological opinion).

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APPROVED ENTITLEMENT AMOUNT - This is an entitlement for which a scheduled payment date has been assigned, and the net amount due has been determined in accordance with the terms and conditions of the purchase contract and in accordance with the Prompt Payment Act. Entitlements are only approved after proper authorization, verification of fund availability, and review for duplicate payment.

ASSESSMENT APPROACH - These are approaches that have been previously developed and apply to particular conditions.

Assessment approaches are the required data and data analysis procedures as well as the standards used to compare to results. This includes the sample or data collection plan and describes the what, where, how and when of sample collection necessary for issue investigation

Example attributes: Approach ID, approach name, equipment name, sample protocol ID

AUTHORIZATION AND APPROPRIATION - This is a provision of legal authority by an act of the Congress that permits Federal agencies to incur obligations and to make payments out of the Treasury for specified purposes. An appropriation usually follows enactment of authorizing legislation. An appropriation act is the most common means of providing budget authority (see Budget Authority). Appropriations do not represent cash actually set aside in the Treasury for purposes specified in the appropriation act; they represent limitations of amounts which agencies may obligate during the time period specified in the respective appropriation acts. The Authorization provides the authority for Federal Agencies to incur obligations and to make payments out of the Treasury for a specified purpose, time, and amount.

AUTHORIZATION TO RETURN - This is the documented approval to reintroduce an item to the supply chain.

BEA MANAGEMENT - The role of Business Enterprise Architecture (BEA) management reflects the overarching structure related to developing and maintaining the architecture throughout its life cycle. Specific responsibilities include the architecture development tasks to be accomplished; the program organizational structure showing the interfaces with various design and supporting disciplines; customer-supplier relationships; the Work Breakdown Structure (WBS); task schedules; cost projections; data and documentation needs; program reporting requirements; design reviews; a risk management plan; requirements management plan; business rules; and the Configuration Management Plan and Quality

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Unit Planning and Budgeting Guidance, Performance Plan, Validated Unfunded Business Unit Requirement, and Business

2nd Gen 3rd Gen 5th Gen **Patriarch** 4th Gen 6th Gen BUYER MATERIEL AND MAINTENANCE AND SERVICE STATUS - This is the information that provides the recipient of a shipment or service with data for both receipt planning and entitlement such as: inspection and acceptance data, schedule updates, shipment information, and service progress status. CASH RECEIPT AND PAYMENT INFORMATION - This is any supporting documentation related to remittance (i.e. copy of checks, demand letter, advise of payment documentation). This information is used in conjunction with deposit information to collect funds to the appropriate appropriation. CERTIFIED COMMERCIAL PAY INFORMATION - This is a certified commercial pay entitlement for which a scheduled payment date has been assigned, and the net amount due has been determined in accordance with the terms and conditions of the purchase contract and in accordance with the Prompt Payment Act. Entitlements are only approved after proper authorization, verification of fund availability, and review for duplicate payment. CERTIFIED FINANCIAL STATEMENT INFORMATION - These are financial statements for external agencies that have been certified as meeting Federal Accounting Standards Advisory Board and other compliance requirements by the Accounting Process. CERTIFIED HUMAN RESOURCES MANAGEMENT PAY INFORMATION - This is the record, approved by a designated official,

of gross pay earnings and entitlements by category, less deductions (statutory and elective) that results in the net

disbursement. This record also reflects active employee debt balance.

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(POMs), the Chairman of the defense programs. CJCS is recommends courses of actions of the commends courses of actions of the commends courses of actions of the commends.	en 3rd Gen  RECOMMENDATION - Upon the Joint Chiefs of Staff (CJCS) fludgment is contained in the contained i	receipt of the service comp ) evaluates these to assist locument called the Chairn , facilities, services, or othe	ponent Program O the Secretary of E nan's Program Ass er resources that s	Defense in decisions on sessment which	
the nature and extent of the within the context of a clear Within the context of a saf response would identify the	ISSUE - An issue, problem, ce issue is properly characteriz n-up program may define the fety program effort, a Charact e specific hazards (i.e., smokes the ESOH Issue Description	ed and understood. For ex speed, direction, and conta erized ESOH Issue may do e, fire, potential for explosion	xample, a Charact aminant content of efine the hazards t	erized ESOH Issue a ground water plume. o be addressed. A fire	Environment, Safety and Occupational Health
resources directives are im laws are followed and inter	OFFICER - This role oversees plemented appropriately at th preted accurately and consist ding subsidiary managerial rol	e enterprise level, as well a ently across the enterprise	as ensuring that po	olicies, guidance, and	
CLOSED ACCOUNT BALA	ANCE INFORMATION - This i	s the ending balance inforr	mation for closed g	eneral ledger accounts.	
CLOSING NOTIFICATION reports.	REQUEST - This is a manag	ement request to close the	e general ledger pr	ior to generating financial	
COLLECTED INVESTIGAT	ΓΙΟΝ DATA ITEM - The result	of a test, measurement, o	r observation effor	t.	Environment, Safety and Occupational Health

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2nd Gen 3rd Gen 5th Gen **Patriarch** 4th Gen 6th Gen COLLECTED SAMPLE - The result of a sample collection effort yielding the proper collection and portrayal of a physical **Environment, Safety and Occupational Health** sample. COLLECTION ACTIVITY TERMINATION NOTICE - This is the notification from an external entity that collection action related to a debt has been terminated. COLLECTION INFORMATION - This is information associated with collections which have been processed to include intergovernmental collections made via IPAC and commercial collections processed via deposit of funds to an established Treasury General Account at a commercial depository. This process encompasses processing of the associated deposit ticket or debit voucher and the associated cash collection voucher, as well as the passing of collection information to the debt management and accounting general ledger activities. COMMERCIAL BANKING CHANGE INFORMATION - This is a notice of change passed from the Federal Reserve Bank to the originating disbursing activity via Fedline. This notification includes information about changes which impact electronic funds transfer payments such as changes in a commercial bank's routing number. COMMITMENT - This identifies commitments that have been approved based on the existence of available funding or decommitments that have been recorded based on decomitment requests. This commitment/decomitment is an administrative reservation/dereservation of funds for a procurement request, order, directive, or equivalent instrument. This also identifies commitments that have been rejected due to insufficient funding or management discretion.

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2nd Gen 3rd Gen **Patriarch** 4th Gen 5th Gen 6th Gen COMMITMENT REQUEST - This is a request for a commitment or decommitment that has been approved based on the existence of available funding. This commitment/decommitment is an administrative reservation/dereservation of funds for a procurement request, order, directive, or equivalent instrument. This also identifies commitments that have been rejected due to insufficient funding or management discretion. COMPARISON RESULT - The result outlining the difference between compliance requirements, program objectives, other **Environment, Safety and Occupational Health** criteria and the value obtained. An interpretation of this result could result in a finding of a possible violation. COMPLETED AGREEMENT - An agreement that has undergone review and consultation by all parties and has been **Environment, Safety and Occupational Health** accepted and approved. Types of completed agreements include; an accepted permit, a biological opinion and a letter of authorization COMPLETED APPLICATION OR AGREEMENT INSTRUMENT - The application or agreement instrument outlining the set of Environment, Safety and Occupational Health terms and conditions, which the respective stakeholders are asked to consider for agreement. COMPLIANCE DETERMINATION - This is a determination of program compliance based on the findings of fact. **Environment, Safety and Occupational Health** CONDITION OF INDIVIDUAL OR GROUP - The physical and or psychological condition of an individual or group. For instance, Environment, Safety and Occupational Health this information may include individual medical records, the 72-hour history, epidemiological records, and other health-related information.

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**Patriarch** 2nd Gen 3rd Gen 4th Gen 5th Gen 6th Gen CONGRESSIONAL DIRECTION - Congressional Resource Legislation includes: The Appropriation is the basis for Federal Agencies to incur obligations and make payments out of the Treasury for a specified purpose, time, and amount. Supplemental Appropriations are in addition to those funds provided in the general fund appropriation for contingency operations and unforeseen emergency events. It provides additional budget authority for those programs that cannot be postponed until enactment of the next Appropriation Act. Transfer Authority is authority initiated by the Component or DoD and authorized by the Congress to transfer budget authority from one appropriation to another. Resource Adjustments represent changes from the Component Resource and Performance Plan that can come in the form of Congressional general reductions, Congressional general and specific line-item adjustments, Congressional rescissions, and OSD and Component withholds. Congress may also rescind previously appropriated funds. Continuing Resolution Authority (CRA) is enacted by Congress to provide authority for funding specific ongoing activities to continue when specific appropriations have not been enacted by October 1st of the new fiscal year. CONTRACT OR ORDER FROM EXTERNAL - This is a request by the external end user, or the vendor/contractor for a change to the terms of the contract or order. Such changes may include the delivery date, specifications, and cost. CONTRACT OR ORDER INFORMATION - This is the information that describes the products or services, delivery schedules, quantity, price, and other terms and conditions that is communicated between a buyer and a seller. The information will include descriptive characteristics of any assets for accountability purposes. CONTRACT OR ORDER MODIFICATION REQUEST - This is a request by the internal end user, or contracting officer for a change to the terms of the contract or order. Such changes may include the delivery date, specifications, and cost. CONTRACT OR ORDER PERFORMANCE INFORMATION - This provides schedule, performance, receipt, acceptance, expenditure and collection information related to internal and external goods tendered or services rendered.

COST ACCOUNTING NON FINANCIAL INFORMATION - This is individual and cumulative non-financial information (i.e., quantities) required for accumulation of non-financial cost information.

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COST ANALYSIS INFORMATION - This represents information that is used to support cost analysis activities. This includes:

Information-directing assignment of costs within a cost model. It typically includes information about which cost model entities are assigned to which other entities, and about drivers or allocation methods used to represent their cost flows. For example, Logistics would indicate that number of shipments is a more accurate driver of resources than number of miles. Acquisition would indicate that the number of contract modifications would be a more accurate driver of resources than number of contracts. Human Resources would indicate that the number of hours providing religious services would be a more accurate driver of resources than just the number of religious services. A quote for internal services or work describes the expected resources or hours required to complete the work, but has not yet had its cost determined. Organizational structure of cost entities (i.e., resources, activities, cost objects) within a cost model depicting appropriate cost aggregation levels. Requirements from management for structuring of cost information within a cost model to support

COST ANALYSIS PRODUCT - This encompasses any form of feedback to a requirement for information. This includes but is not limited to: Studies of costs that will focus on what costs are and any other appropriate analyses such as their causes and effects. This includes any other cost analysis not covered by the activities of cost estimating, cost benefit analyses, forecasting unit costs, cost driver analysis, or cost variance analysis.

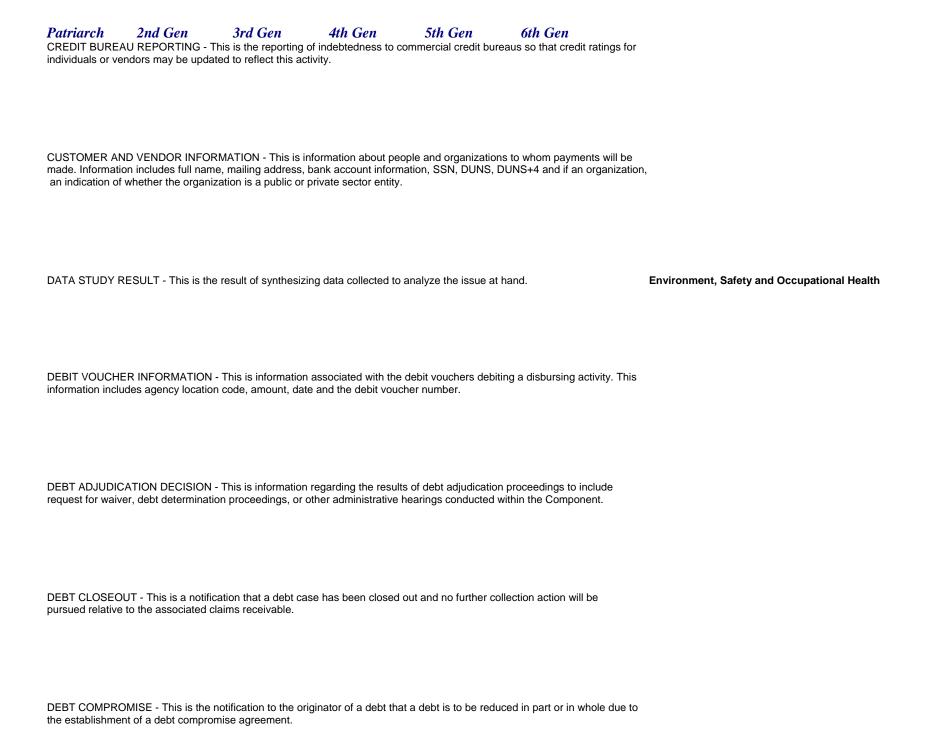
This includes estimates of costs/revenues that include sufficient level of detail to support management decision-making. For example, before making a major acquisition, a cost estimate may be prepared to determine the funding required to make the acquisition. Cost estimates could also include costed assessments provided to functional areas to facilitate the development of strategic and business plan assessments, estimates of costs to be incurred in performing work for a customer. Variances in cost between forecasted or estimated and actual results. This also includes information about the causes of those variances.

Also, information about quantities, such as resource driver quantities and activity driver quantities, used in the process of assigning costs within a cost model. These quantities could include machine hours, labor hours, and units. This is information on attributes or labels that has been added to a cost model. This information can include which attributes are attached to which elements in a cost model, as well as any qualitative or quantitative data associated with those attributes. Examples of attribute requirement would be 'value added' or 'non-value added.'

COST ANALYSIS REQUIREMENT - This is any requirement to perform cost analysis to support management decision-making and information needs. Examples include cost/revenue estimates, cost benefit analyses, and cost variance analyses.

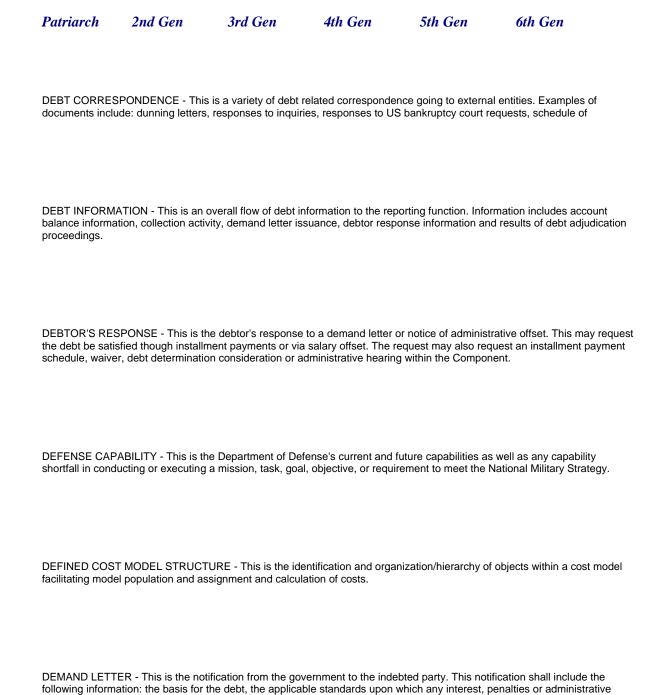
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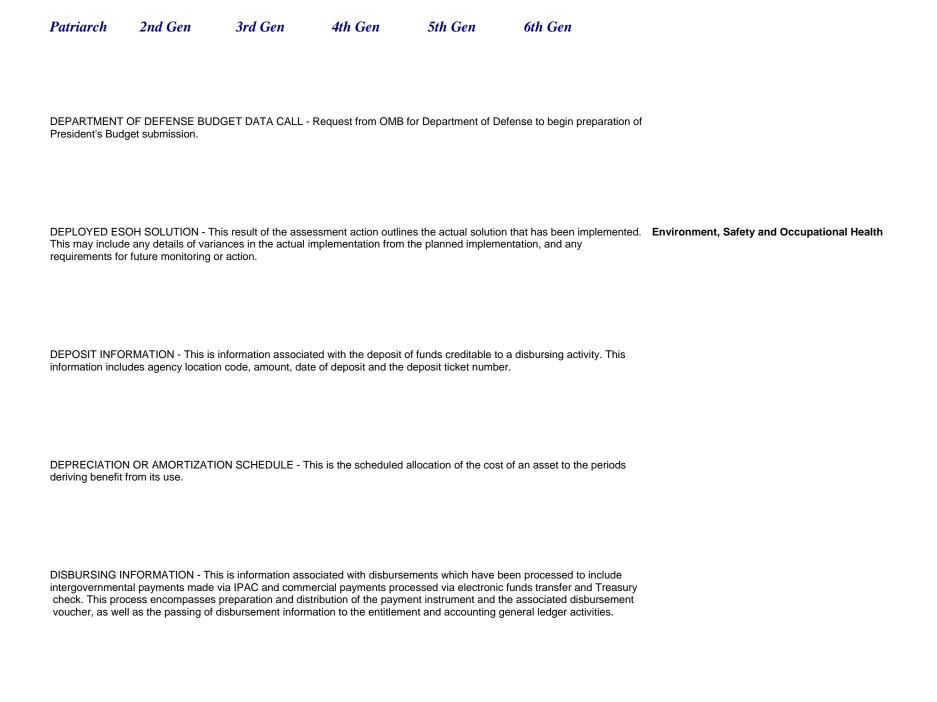
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charges will be based, the date by which the debtor must make payment to avoid late charges and enforced collection, the name, address and phone number of point of contact the debtor may speak with regarding the demand letter and remedies

the Department will use to enforce payment of debts.

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DISCHARGED DEBT TAX NOTIFICATION - This is the issuance of the IRS Form 1099 documenting the fact that delinquent

debt has been written off and should thus be treated as taxable income for the individual/vendor.

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2nd Gen 3rd Gen 4th Gen **Patriarch** 5th Gen 6th Gen ESOH ISSUE COMMUNICATION - A communication to responsible authorities that an issue of actual or potential ESOH **Environment, Safety and Occupational Health** concern exists. The communication may describe an event such as an accident or spill or refer to a set of circumstances of interest to the ESOH community (e.g., paint fumes, archeological discovery). The communication may be from the public. regulatory notices from authorities, or be identified as a result of an internal study or inspection. Example Attributes: Time/date of Communication Issue description Name of Notifier Issue area/location ESOH ISSUE DESCRIPTION - This is a narrative that details the problem, issue, or concern. Example components include: **Environment, Safety and Occupational Health** statement of understanding, process parameters, issue location, work function, identified hazard, and ESOH issue profile. This output also feeds the environmental inventory. ESOH ISSUE PROFILE - This is a description of the area of interest and the suspected issue or the aspect associated with a **Environment, Safety and Occupational Health** specified location, activity or service. The issue profile can be used to determine if an aspect or issue of interest requires further investigation or may be used as the basic information in beginning the detailed assessment. Example attributes: Area Name Area Location **Activity Performed** Suspected Issue ESOH ISSUE REQUIRING INVESTIGATION - This is the ESOH aspect or issue that has been determined to warrant further 

Environment, Safety and Occupational Health

Environment, Safety and Occupational Health investigation based upon the specific purpose and focus of the program conducting the review Example attributes: Area Name Area Location

ESOH SOLUTION ALTERNATIVE - A narrative that describes the solution, which will be considered as an alternative for addressing the ESOH issue.

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areas within DoD, such as environmental data, that are necessary for budgeting and financial reporting.

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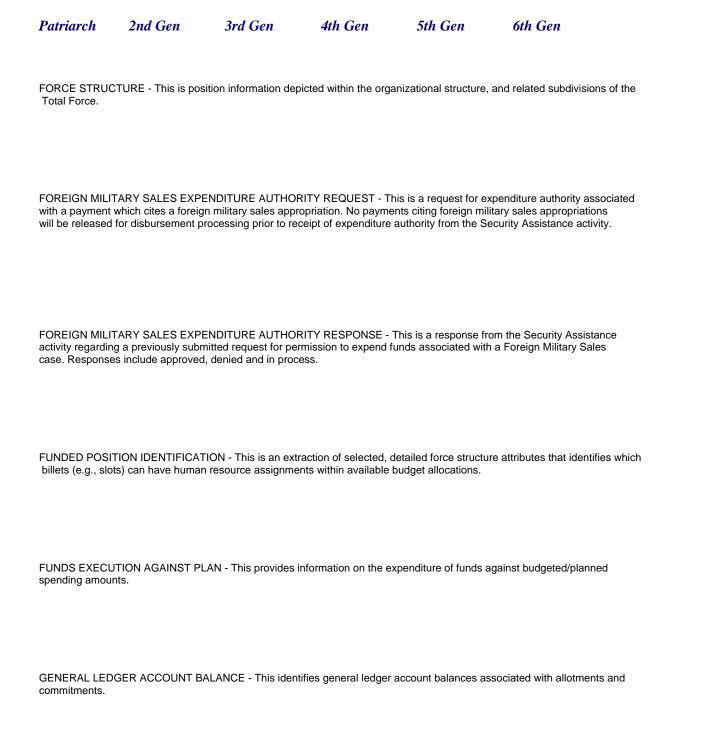
2nd Gen 3rd Gen 4th Gen 5th Gen 6th Gen **Patriarch** EXTERNAL DELIVERY EVIDENCE - This is the information from an External non-DoD buyer that goods or services have been provided by a DoD Seller. EXTERNAL DEPARTMENT OF DEFENSE DEBT - This is a request for collection action associated with debts originating in other federal agencies. An example of this type of debt is a tax levy from the Internal Revenue Service. EXTERNAL INTELLIGENCE - This is intelligence information and material provided by other agencies to the Department of Defense and used in the development of the overall strategic plan and subsequent planning and budgeting documentation. FINANCIAL INFORMATION MODIFICATION - This is a notification that a change to the existing standard financial information structure, cost accounting code structure, standard chart of accounts, or accounting/cost accounting methodology has been issued. FINANCIAL MANAGER - This role ensures that decision makers have accurate, timely, reliable, and useful financial information needed to make sound decisions. The comptroller develops financial strategies; develops and controls the formulating budget submissions process; ensures financial controls; and conducts program and organizational assessments. It also represents and defends positions on all financial matters, and provides financial management guidance and oversight for the efficient and effective use of resources.

FINANCIAL STATEMENT NARRATIVE INFORMATION - This is information used to build the post closing narrative. This information is derived from accumulated cost data, data used to build the working trial balance, mapped financial data, and

mapped non-financial data.

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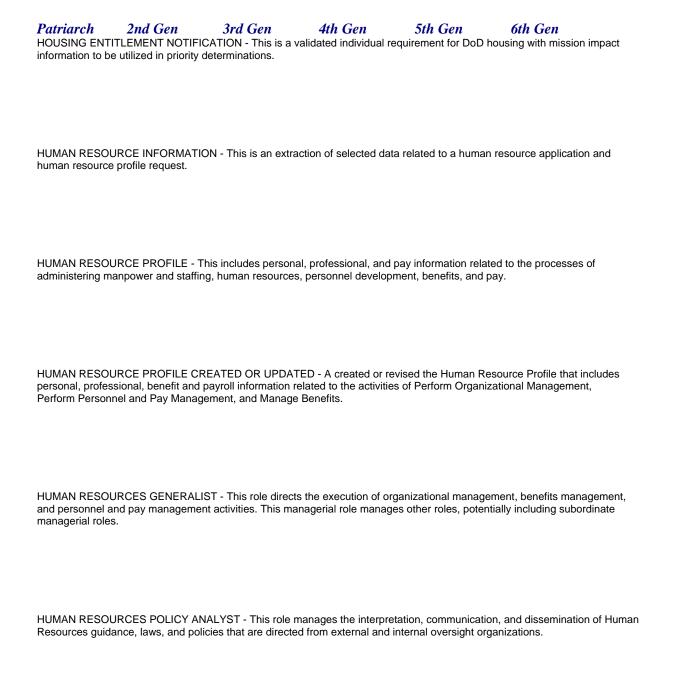
2nd Gen 3rd Gen 5th Gen **Patriarch** 4th Gen 6th Gen GENERAL LEDGER MAPPING RULE - This is the system rule that identifies how and what type of information within an accounting transaction should be assigned to the general ledger. GOVERNMENT FURNISHED MATERIEL REQUEST - This is a request for equipment in the possession of, or directly acquired by, the Government. GOVERNMENT SECURITIES INFORMATION - This is information relating to each security including, but not limited to, purchase cost and yields, book value, date when semiannual interest accrues, interest percentage, and date the security matures. This also includes information provided the Department of Treasury to invest all or portions of the moneys in Investment Trust Fund Accounts. This is information also provided to the Department of Treasury to redeem securities in an amount sufficient to produce a cash balance in its account at least equal to the amount planned to be disbursed before making the disbursement. GUIDANCE - This is the interpretation and implementation of Policy within the Department of Defense. Guidance takes the form of regulations, directives, circulars, instructions, manuals, standard operating procedures (SOPs). Examples include Department of Defense Financial Management Regulation (7000.14R), Department of Defense Instruction 5000.2R, Defense Federal Acquisition Regulation Supplement (DFARS), Joint Travel Regulations (JTR), Department of Defense Cost Standards.

HEALTH CARE EXECUTIVE-LEVEL OFFICER - This high-level role is responsible for interpreting and providing direction on doctrine, guidance, policy, procedures, regulations, and standards that control the performance of Military Health System

functions. This executive-level managerial role manages other roles, including subsidiary managerial roles.

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INDIVIDUAL TRAVEL AUTHORIZATION - This is the authorization for physical relocation, and related funds obligation, of a

person in support of DoD business and/or operations.

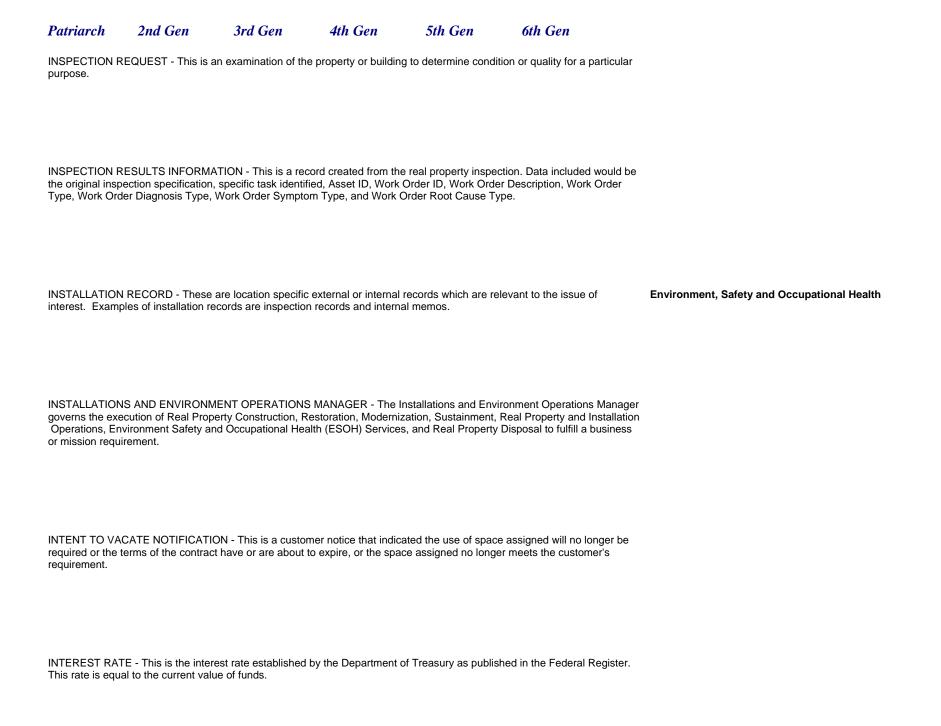
2nd Gen 3rd Gen 5th Gen 6th Gen **Patriarch** 4th Gen INDIVIDUAL WRITE OFF INFORMATION - This is information detailing an agreed-upon partial payment of a debt made by an individual or employee allowing for a Department of Defense (DoD) write-off to occur in lieu of paying the full amount of the debt. INDUSTRY TECHNOLOGY PROJECTION - This is an assessment of when and at what cost industry will be able to develop and field selected technology and innovations. INFORMATION ASSURANCE - Information Assurance (IA) is defined in DoD Directive 8500.1, "Information Assurance," dated October 24, 2002, as (measures that protect and defend information and information systems by ensuring their availability, integrity, authentication, confidentiality, and non-repudiation. This includes providing for restoration of information systems by incorporating protection, detection, and reaction capabilities. Enterprise information assurance requirements that support a common capability-base approach for enterprise-wide information assurance services to provide timely, secure, ubiquitous end-user access to business information. INFORMATION RESOURCE MANAGER - This role focuses on managing the enterprise's data, information, knowledge, and the technology necessary to create, use, and manage effectively. Information resource manager is also referred to as data resource manager, information manager, information resource planner, and by various other names. Enterprises need to share data, information, and knowledge with customers, suppliers, and partners so they can do business more efficiently, thus the increasing importance of information resource management.

INITIAL ASSET RECORD INFORMATION - This is the first instance of the descriptive characteristics of an asset entering

Department of Defense accountability.

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**Patriarch** 2nd Gen 3rd Gen 4th Gen 5th Gen 6th Gen INTERVIEWEE INFORMATION - Identity and contact information on the landowners, neighbors, DoD personnel or tip providers

Environment, Safety and Occupational Health that may be interviewed to better understand the aspect or issue of interest Example attributes: Interviewee Name Interviewee Contact Info Association with the issue INVENTORY AVAILABILITY - These are stocks or items on hand used to support production (raw materials and work in process items), supporting activities (maintenance, repairs and operating supplies), and customer service (finished goods and spare parts). INVESTMENT INTEREST INFORMATION - This report focuses on the status and balances of the Investment Trust Fund Accounts. The report provides a record of all transaction processed in the Investment Trust Fund Accounts for a specific period. INVESTMENT TRUST FUND INFORMATION - This includes information regarding the purchasing, selling, and redeeming marketable, non-marketable, and market based securities. This also includes calculating and recording interest earned and amortization of premiums/discounts. LAW - These are external binding rules of conduct or actions prescribed that impose restrictions or direction on how entities must operate or behave. Examples of laws include Congressional Appropriation and Authorization Acts, State and Local Environment Compliance Laws, United States Code, and rulings.

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4th Gen 2nd Gen 3rd Gen **Patriarch** 5th Gen 6th Gen LEGAL RECORD - This is an existing record related to the issue of interest such as a deed and waste manifest **Environment, Safety and Occupational Health** Example attributes: Legal record name Legal record type (Waste manifest, property record, deed) MAINTAINED ASSET INFORMATION - This is the descriptive characteristics of an asset which has been maintained. MISSION - These are the objectives or tasks that specify the action(s) necessary to conduct peace-time and war-time activities in support of the National Military Strategy. NATIONAL MILITARY STRATEGY - This is an analysis to determine Department of Defense resources required to support the Commander-in-Chief's National Security Strategy. It serves to validate and update the threat level and force structure assumptions. NATIONAL SECURITY STRATEGY - This is the Commander-In-Chief's strategic guidance to the Department of Defense that supports the nation's intention to employ the armed forces to secure the objectives of national policy by the application of force or the threat of force.

OBLIGATION - This identifies obligations that have been approved based on the existence of available funding or deobligations that have been recorded based on deobligation requests. This obligation/deobligation is a legal reservation/dereservation of funds for a procurement request, order, directive, or equivalent instrument. This also identifies obligation requests that have been rejected due to insufficient funding or management discretion.

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OBLIGATION REQUEST - This is a signed contract or order (includes contract and/or order) that provides obligations or deobligations request. PERFORMANCE INFORMATION - This is the flow of actual performance data back into the control system so that actual performance can be compared with planned performance. BASE OPERATIONS PERFORMANCE INFORMATION This is the narrative and metrics data that when aggregated describes the execution of Service Base Operations activities. DELIVER PERFORMANCE INFORMATION This is the narrative and metrics data that when aggregated describes the execution of Deliver activities. DISPOSE OR RETURN PERFORMANCE INFORMATION This is the narrative and metrics data that when aggregated describes the execution of Dispose or Return activities. PERFORM BUILD AND MAKE AND MAINTENANCE AND SUSTAINMENT PERFORMANCE INFORMATION This is the narrative and metrics data that when aggregated describes the execution of Build and Make and Maintenance and Sustainment activities. PROGRAM PERFORMANCE INFORMATION This is the information that reports financial and non-financial data concerning overall program costs and performance. PROJECT APPROVAL REQUEST This is information that is required when an architectural design has reached a certain milestone (currently 35% completion). This authorization request enables the technical, business and financial review of the engineering of architectural design to ensure it meets the

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stated objectives and requirements. If approved the design project can be completed. If the project is not approved the design may be deferred or cancelled.

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PERFORMANCE SUMMARY - This contains the results of analysis of actual performance against the financial ar

PERFORMANCE SUMMARY - This contains the results of analysis of actual performance against the financial and operational goals and objectives. Includes recommendation for modifications to the Resource and Performance Plan.

PERMIT APPLICATION - The set of terms and conditions that must be met before a permittee can proceed with the permitted activity. Also includes the necessary administrative information needed to identify the permitted parties and the covered facilities or operation. A permit application or form that must be completed by the permittee

PLAN - This is the collection of individually prepared strategic and tactical documents that govern execution of the Department of Defense mission.

APPROVED ACQUISITION PLAN This plan identifies those milestones at which decisions should be made in order to facilitate the attainment of the acquisition objectives. The Plan must address all the technical, business, management, and other significant considerations that will control the acquisition of goods and services.

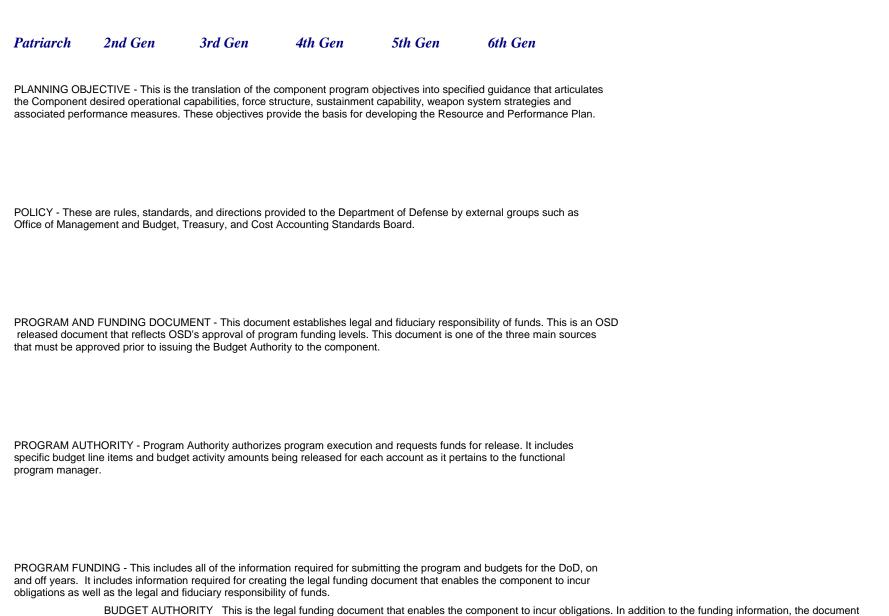
BUSINESS PLAN The business plan identifies the business unit performance goals, objectives, and financial and non-financial resources needed to accomplish the business goals and objectives of the program. The business plan includes acquisition, logistics, and human resource requirements needed to support these strategies as well as a support strategy that serves as the roadmap for life cycle sustainment, tailored to the specific needs of a program.

DEFENSE RESOURCE PLAN The Defense Resource Plan includes all resource requirements, budgeted and unfunded, required to meet component goals. Component is defined as DoD Services, Agencies, and lower organizations including field units. This plan is derived from the Future Year Defense Program, Component Planning and Budgeting Guidance, Performance Plan, Validated Component Unfunded Requirement, and Component Program and Budget. The plan identifies the component performance goals, objectives, and resource requirements for all active years necessary to support the strategies in the National Military Strategy, Quadrennial Defense Review, and the Secretary of Defense Strategic Planning Guidance and the Secretary of Defense Joint Programming Guidance. The executed plan will change based on input from approved appropriation, emerging requirements and other fact of life changes. The Component Resource and Performance Plan is performance-based, meaning it sets measurable target levels of performance (i.e., metrics, balanced scorecard) to achieve the goals and objectives established in the plan.

STRATEGIC PLAN ASSESSMENT This is a functional assessment of the Strategic Plan Objective which determines operational capabilities, force structure, sustainment capability, and weapon system strategies, to support the National Military Strategy and the Quadrennial Defense Review. The assessment can be a partial assessment or any partial response that is typical in the various stages of an iterative cycle.

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includes statutory limitations imposed on a particular appropriation or individual line item. The basis for the release is the program authority.

PROGRAM AND BUDGET On-year--DoD's submittal of the program and budget information to the President's Budget. This includes the adjusted Program Objective Memorandum (POM) and Budget Estimate Submission (BES) for the DoD Future Years Defense Program addressing major defense budget issues. Off-year--The DoD set of fully resourced changes to the program and budget submission in the off-years of the Planning, Programming, Budgeting, and Execution process. This comprises both Program Change Proposals (PCPs) and Budget Change Proposals (BCPs) for the off-years. BCPs cover fact of life changes (eg. cost increases, schedule delays, management reform savings, and workload changes) and changes resulting from Congressional actions.

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PROPOSAL - This is an offer to provide goods or services at a specified cost and within a specified schedule. It also includes proposed contract terms and conditions under which the goods or services will be provided. **Environment, Safety and Occupational Health** PUBLIC COMMENT - Input from general public stakeholders regarding the proposed agreement instrument or permit. QUADRENNIAL DEFENSE REVIEW - This is a review of the nation's defense strategic objectives that are needed to support the National Military Strategy. This review enables the Department of Defense to develop strategic goals and plans to meet the current requirements and make future investment decisions to transform equipment requirements, organizational structures, and operational concepts into more efficient military forces. The Quadrennial Defense Review is strategy-driven, not budget-driven, so that the decision makers can assess the risk of not having the desired amount of resources to meet the requirements. REAL PROPERTY DISASTER OCCURRENCE INFORMATION - This is information regarding a destructive event to real property including but not limited to the following events: earthquake, explosion, fire, flood, hazardous material or transportation incident (such as a chemical spill). REAL PROPERTY INSTALLED EQUIPMENT RECOVERED INFORMATION - This is equipment that is recovered from a real property asset during a disposal event. This equipment or materiel is either returned back into logistics inventory for re-use or sold.

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**Patriarch** 2nd Gen 3rd Gen 4th Gen 6th Gen 5th Gen REAL PROPERTY OPERATION CEASED INFORMATION - This is information that indicates that operations on real property asset have ceased. This occurs either at the point in time where the flags are lowered on a real property asset, a disaster has occurred, or construction, restoration, modernization or sustainment action is underway. RECEIPT INFORMATION - This refers to the information that indicates that the Deliver agent has received materiel which has been returned. The purpose is to enable the returning entity to closeout the in-transit. REFERRED DEBT - This is the referral of debts to external sources for collection action. This includes referral of uncollected debts to Department of the Treasury, Department of Justice or private collection agencies. REGULATION AND GOVERNING POLICY - Regulation or governing policy such as the Comprehensive Environmental **Environment, Safety and Occupational Health** Response, Compensation, and Liability Act (CERCLA), NRC, or host nation requirements that can provide context for the issue of interest RELEASE TO DELIVER - This is the message that Make/Maintain sends to Deliver indicating that a piece of equipment is finished and ready for pick-up or delivery. REMITTANCE INFORMATION - This is information associated with remittance forwarded to the disbursing activity and may include copies of IPAC listings, checks, coupons, food stamp vouchers, WIC vouchers and various forms of

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REPLACEMENT FINANCIAL INSTRUMENT REQUEST - This is the request for the issuance of a replacement check due to the original check being lost, stolen, mutilated or not received by the payee. This request will be processed by the disbursing activity resulting in the generation of a stop pay request to the Department of Treasury. REQUEST FOR ADMINISTRATIVE OFFSET - This is a request for administrative offset against amounts payable to indebted parties. This would be a salary offset for employees or an administrative payable offset for vendors. REQUEST FOR PROPOSAL - This is a request for bids/offers to meet a specified requirement or need. It includes information about the acquisition requirement so that vendors/contractors can submit a proposal. REQUEST FOR REFUND - This is a request for a refund payment to be made to an individual or vendor in instances when an overcollected condition exists related to a debt case. REQUEST FOR RESEARCH INFORMATION - This is a request for information for the purpose of determining the capabilities, technology, and competitive forces of the marketplace to meet an organization's needs for supplies or services. REQUEST TO MODIFY TREASURY WARRANT - A request from the Department of Defense to the Department of the Treasury to revise and reissue the original warrant received from Treasury. This request is usually the result of an error or discrepancy between the Treasury Appropriation Warrant and the amount enact into law. The request for modification is necessary to ensure accuracy and agreement with the Department of Treasury accounts.

4th Gen

5th Gen

6th Gen

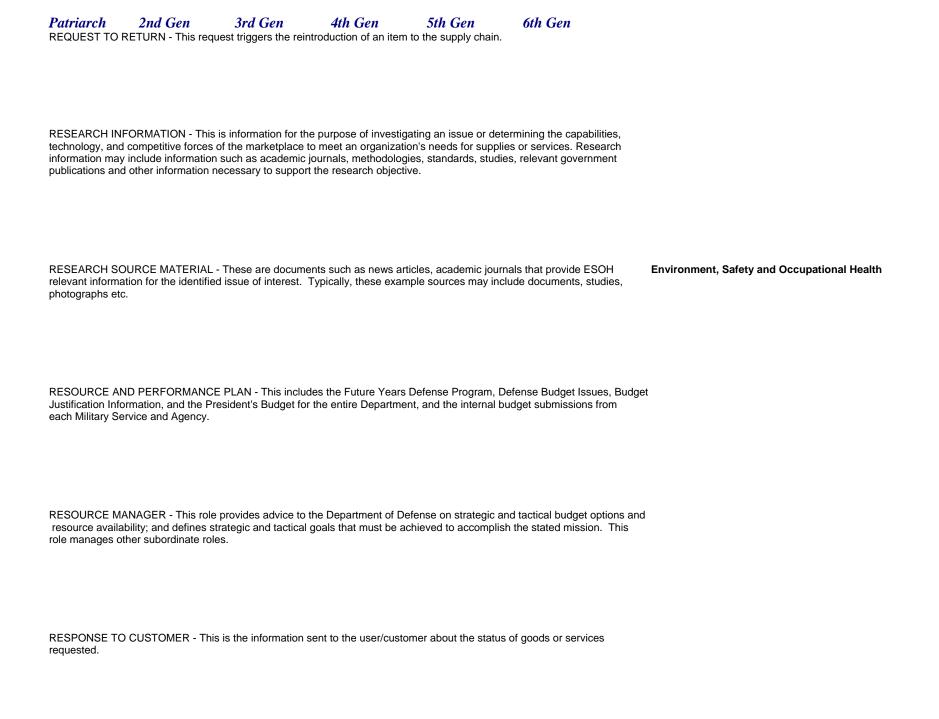
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2nd Gen **Patriarch** 3rd Gen 4th Gen 5th Gen 6th Gen RETURN INFORMATION - This documents the information on materiel which has been authorized for return. It includes item information, quantity, transportation information, and shipment and arrival dates. RETURNED PAYMENT - This is information associated with a payment which has been returned to a disbursing activity. For checks payments this could be due to improper mailing address information or perhaps a deceased payee. For EFT payments this could be due to improper ABA routing number, improper account number or payment being rejected by the RETURNED PAYMENT NOTICE - This is a notification from the disbursing activity to the originating entitlement activity that a previously issued payment has been returned and the reason(s) why. This is a notification from disbursing to a functional area that a payment has been returned from a financial institution. The payment was returned for at least one of the following reasons: invalid account number, invalid routing transcript number, account closed, or rejected by payee. REVIEWED APPLICATION OR AGREEMENT INSTRUMENT - An agreement instrument or permit application that has been Environment, Safety and Occupational Health reviewed by the regulatory and permitting authority. A reviewed application or agreement instrument may contain deficiencies or the final set of operating terms of conditions to which the parties agree. It also contains any input from the public regarding the agreement. RISK CHARACTERIZATION - This is a narrative that describes the type of risk the identified hazard could have upon the **Environment, Safety and Occupational Health** Warfighter or other stakeholders. This narrative can contain details such as the professional judgment of the risk assessor, a risk assessment code (RAC), other statistical information, or other analyses (as defined by the methodology). RISK STANDARD - These are existing standards for risk determination from sources such as EPA, OSHA, DOD Instructions 
Environment, Safety and Occupational Health 6055.12, 6055.5, etc.

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SALES EVIDEN the data require	ICE - This is the inford to generate a bill a	rmation that provides nd record the receive	s verification of good able. Includes data	ds tendered or servic conveying receipt ar	ces rendered and conveys and acceptance by the buyer.	
	CUSTOMER REQUuyer's contract or ord		est from the buyer f	or information regar	ding current schedule and cost	
	CUSTOMER RESP ontract or order.	ONSE - This is the i	nformation from the	seller to buyer on cu	urrent schedule and cost status	
SAMPLE ANAL	YSIS RESULT - This	s is the result of the la	ab or other analysis	performed on the co	ollected sample.	Environment, Safety and Occupational Health
data and data a collection plan a Example attribu Sample Locatio	analysis procedures a and describes the wha	as well as the standa at, where, how and v (e.g. soil, water, air)	ards used to compar when of sample coll	e to results. This in	tigation. It includes the required cludes the sample or data issue investigation.	Environment, Safety and Occupational Health
	OH SOLUTION - Thi	s is the solution that	has been evaluated	I and has been dete	rmined as a course of action to	Environment, Safety and Occupational Health

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SELECTED RISK ASSESSMENT METHODOLOGY - Selected Risk Assessment Methodology - The selected risk methodology details the method by which the risk assessor should perform the risk assessment. An example of a selected methodology could be chapter 2 of FM 100-14, which details the Army process by which risk should be assessed. This methodology could include which types of protocols to use for the collection of data, protocols for the analysis (METTS), and the process by which information is distributed.

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SELLER MATERIEL AND MAINTENANCE AND SERVICE STATUS - This is the information regarding the provision of goods or services with data for collection and shipment planning to include, but not limited to: status or evidence from operational execution activities of HRM, Logistics, and I&E for schedule and cost.

DELIVER STATUS This is the evidence from the Deliver activity regarding the provision of goods or services.

PROJECT STATUS INFORMATION This is information that identifies the status (i.e., budget, percentage of completion against the schedule) of projects that are in progress. It also captures items such as Construction/Work-in-progress information.

REAL PROPERTY ASSET STATUS INFORMATION This is the status of items necessary to provide or support asset accountability and valuation.

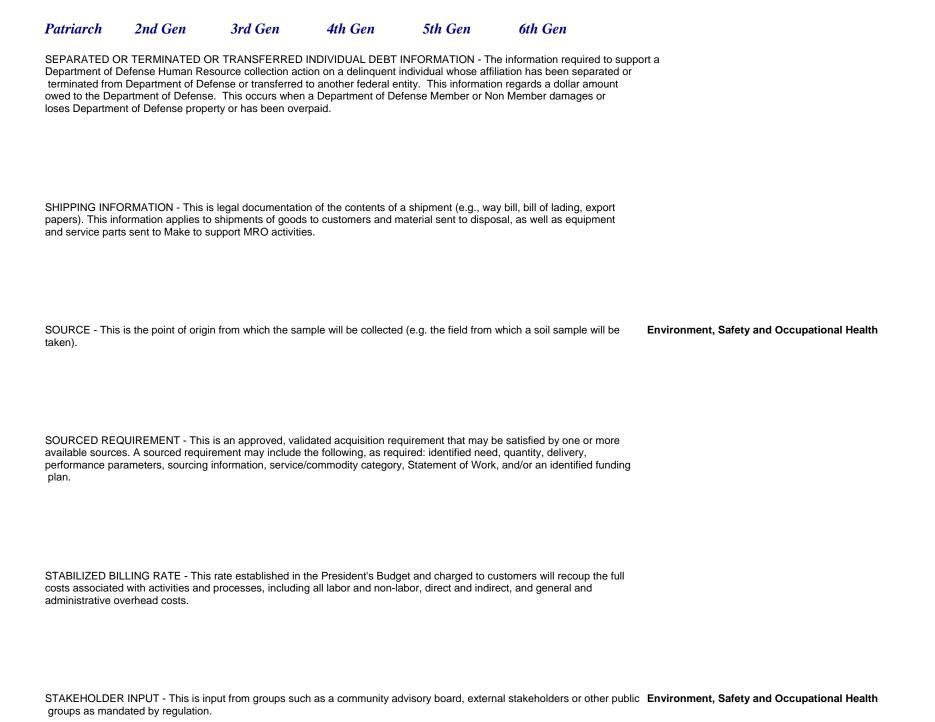
SALES REIMBURSEMENT INFORMATION This is reimbursement information from the sale of goods or services (e.g., commissary receipts, training).

UPDATED MAINTENANCE OR PRODUCTION SCHEDULE This identifies changes to the workload plan resulting from the accomplishment of tasks.

SELLER RESPONSE - This is a response from a Selling organization to a Draft Contract/Order or Request for Quotes.

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STANDARD CHART OF ACCOUNTS - The United States standard general ledger chart of accounts provides control over all financial transactions and resources balances, satisfies basic reporting requirements of Office of Management and Budget and United States Treasury Department, and integrates proprietary and budgetary accounting.

STANDARD COST RATE - This is a rate used to determine the normal expected cost of an operation, process, or product, including labor, material, and overhead charges, computed on the basis of past performance costs, estimates, or work measurement.

STANDARD FINANCIAL INFORMATION STRUCTURE - The DoD Standard Financial Information Structure (SFIS) provides the ability to collect and summarize information consistently and at the appropriate levels of detail for users at multiple levels in the government. Collectively, this information is used to support financial and performance reporting requirements. Components of the SFIS include Appropriation information, Budget information, Organization information, Trading Partner information, Transaction information, and Cost Accounting information.

STOP PAYMENT REQUEST - This is the request for stop payment issued to the Department of Treasury associated with a check payment previously issued by the disbursing activity. In this instance the original check has been reported as lost, stolen, mutilated or not received by the payee.

STRATEGIC PLAN OBJECTIVE - This is the translation of the Quadrennial Defense Review and National Military Strategy into specified objectives that articulate the Department of Defense's desired operational capabilities, force structure and weapons system strategies. These objectives help to provide the basis for developing the Defense Budget and the Annual Performance Plan. These objectives are utilized by the functional communities to develop assessments with order of magnitude costs.

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SUSTAINMENT WORK ORDER INFORMATION - This is information regarding the specific task list and scheduled completion time to sustain real property. This information could include Asset ID, Work Order ID, Work Order Description, Work Order Type, Work Order Diagnosis Type, Work Order Symptom Type, and Work Order Root Cause Type.

TIME AND ATTENDANCE INFORMATION - This is the individual's number of hours worked, attendance, and leave information for a specific pay cycle.

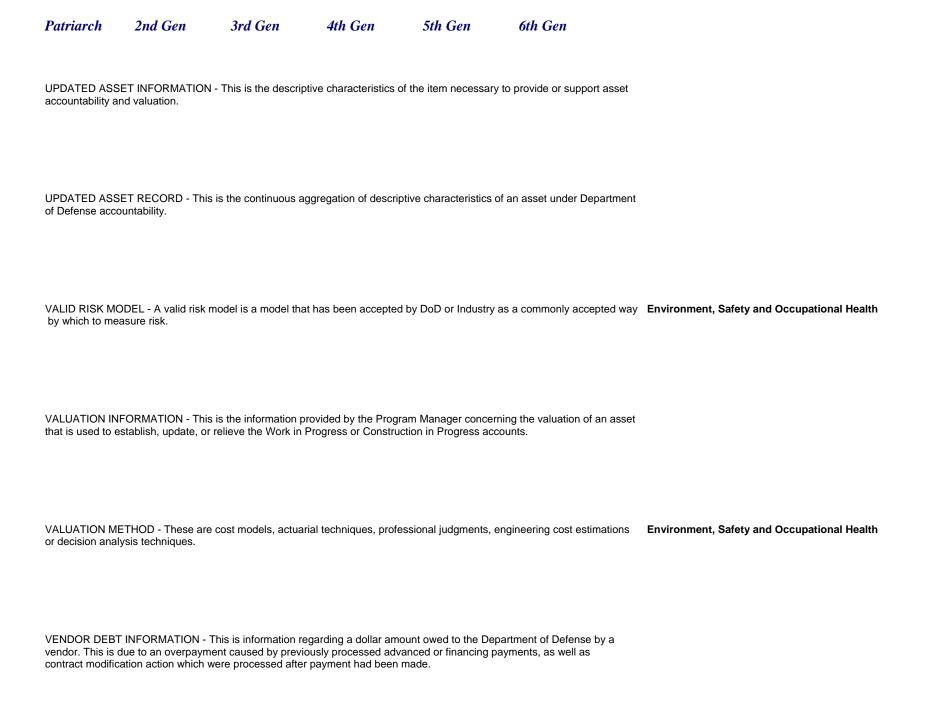
TRANSACTION CHANGE NOTIFICATION - This is intended to enable the Accounting process the capability to communicate, to the source processes, the need for clarification or correction of unusual, uncommon, unanticipated or non-substantiated transaction data reflected in the trial balance. These issues would be identified in the analysis of the trial balance performed in the Accounting process and would focus on those items of a material nature. As an example, if the trial balance reflected a large payroll transaction in a period not consistent with established pay periods, a notification to the HR source process would be necessary to clarify or correct that information. Any corrections, that result from this notification, are communicated back to the Accounting process through the existing transaction related ICOMs that the source process sends to the Accounting process.

TREASURY INFORMATION - This is information resulting from the Department of Treasury's maintenance of those particular government accounts on the books of the Treasury that the Secretary of Treasury has been authorized or directed by law to invest. Information provided includes deposits in transit information, re-certified check credits, checks issuance information and Disbursing Officer deposit fund account balance information. The aggregate amount of funds in the DoD's accounts with Treasury for which the DoD is authorized to make expenditures and pay liabilities. Fund balance includes balance of funds collected, funds disbursed, and funds with Treasury. Any differences between funds with Treasury and funds with DoD are considered undistributed collections and undistributed disbursements.

TREASURY WARRANT - The Treasury warrant is a financial control document, issued after enactment of the Appropriation Act by the Treasury Department. This document is the basis for reporting cash on the books authorized to be withdrawn from the central account maintained by the Treasury, essentially maintaining the bottom line for all appropriations. This document is one of the three main sources that must be approved prior to issuing the Budget Authority to the component.

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VENDOR DELINQUENT DEBT - This is information regarding a delinquent debt owed to the Department of Defense by a vendor. The debt may have originated when an overpayment caused by previously processed advanced or financing payments, as well as contract modification actions which were processed after payment had been made.

WRITTEN OFF DEBT/RECEIVABLE - This is the notification to accounting that collection action related to a debt has been terminated and the associated receivable is to be written off.

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